

| Year                       | Case Type           | Received               | Investigation Type            | Concluded in Year | Avg. Time Taken (Days) | Cases on Hand at Year End | Avg. per Investigator on hand at year end | Inv Staff at 31/12/2016 | Avg. per Case Officer (s.94 (1)) | s.94 Team at 31/12/2016 | Avg. per Case Officer (IR)) | IR Team at 31/12/2016 |
|----------------------------|---------------------|------------------------|-------------------------------|-------------------|------------------------|---------------------------|---|-------------------------|----------------------------------|-------------------------|-----------------------------|-----------------------|
| 2016                       | Complaint           | 1757                   | s.98                          | 324               | 131                    | 179                       | 20  | 22                      | 69                               | 5.25                    | 16                          | 1                     |
|                            |                     |                        | s.95                          | 20                | 462                    | 33                        |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.94 (5)                      | 94                | 327                    | 169                       |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.94 (1) incl. IR to s.94 (1) | 383               | 290                    | 360                       |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.94 (1) to s.94 (10)         | 67                | 125                    | 12                        |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | IR excl. IR to s.94 (1)       | 84                | 109                    | 16                        |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.91                          | 0                 | 0                      | 0                         |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.92                          | 0                 | 0                      | 6                         |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | Complex Phase Movement        | 43                | 451                    | 6                         |   |                         |                                  |                         |                             |                       |
|                            |                     |                        |                               | <b>1015</b>       |                        | <b>781</b>                |   |                         |                                  |                         |                             |                       |
|                            | Referrals s.102 (1) | 51                     | s.98                          | 11                | 288                    | 18                        |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.95                          | 11                | 371                    | 8                         |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.94 (5)                      | 0                 | 0                      | 1                         |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.91/s.93                     | 33                | 86                     | 1                         |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.91                          | 0                 | 0                      | 3                         |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.92                          | 0                 | 0                      | 0                         |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | Complex Phase Movement        | 0                 | 0                      | 0                         |   |                         |                                  |                         |                             |                       |
|                            |                     | <b>55</b>              |                               | <b>31</b>         |                        |                           |   |                         |                                  |                         |                             |                       |
|                            | 102 (2) (b)         | 1                      | s.98                          | 0                 | 0                      | 1                         |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.95                          | 0                 | 0                      | 0                         |   |                         |                                  |                         |                             |                       |
|                            |                     |                        | s.94 (5)                      | 0                 | 0                      | 0                         |   |                         |                                  |                         |                             |                       |
| s.91/s.93                  |                     |                        | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
| s.91                       |                     |                        | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
| s.92                       |                     |                        | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
| Complex Phase Movement     |                     |                        | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
|                            | <b>0</b>            |                        | <b>1</b>                      |                   |                        |                           |   |                         |                                  |                         |                             |                       |
| 102 (4) Public Interest    | 4                   | s.98                   | 1                             | 74                | 1                      |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | s.95                   | 0                             | 0                 | 2                      |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | s.94 (5)               | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | s.91/s.93              | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | s.91                   | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | s.92                   | 0                             | 0                 | 1                      |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | Complex Phase Movement | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
|                            | <b>1</b>            |                        | <b>4</b>                      |                   |                        |                           |   |                         |                                  |                         |                             |                       |
| 102 (5) & 102 (7) Minister | 16                  | s.98                   | 4                             | 156               | 10                     |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | s.95                   | 0                             | 0                 | 11                     |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | s.94 (5)               | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | s.91/s.93              | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | s.91                   | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | s.92                   | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
|                            |                     | Complex Phase Movement | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                             |                       |
|                            | <b>4</b>            |                        | <b>21</b>                     |                   |                        |                           |   |                         |                                  |                         |                             |                       |

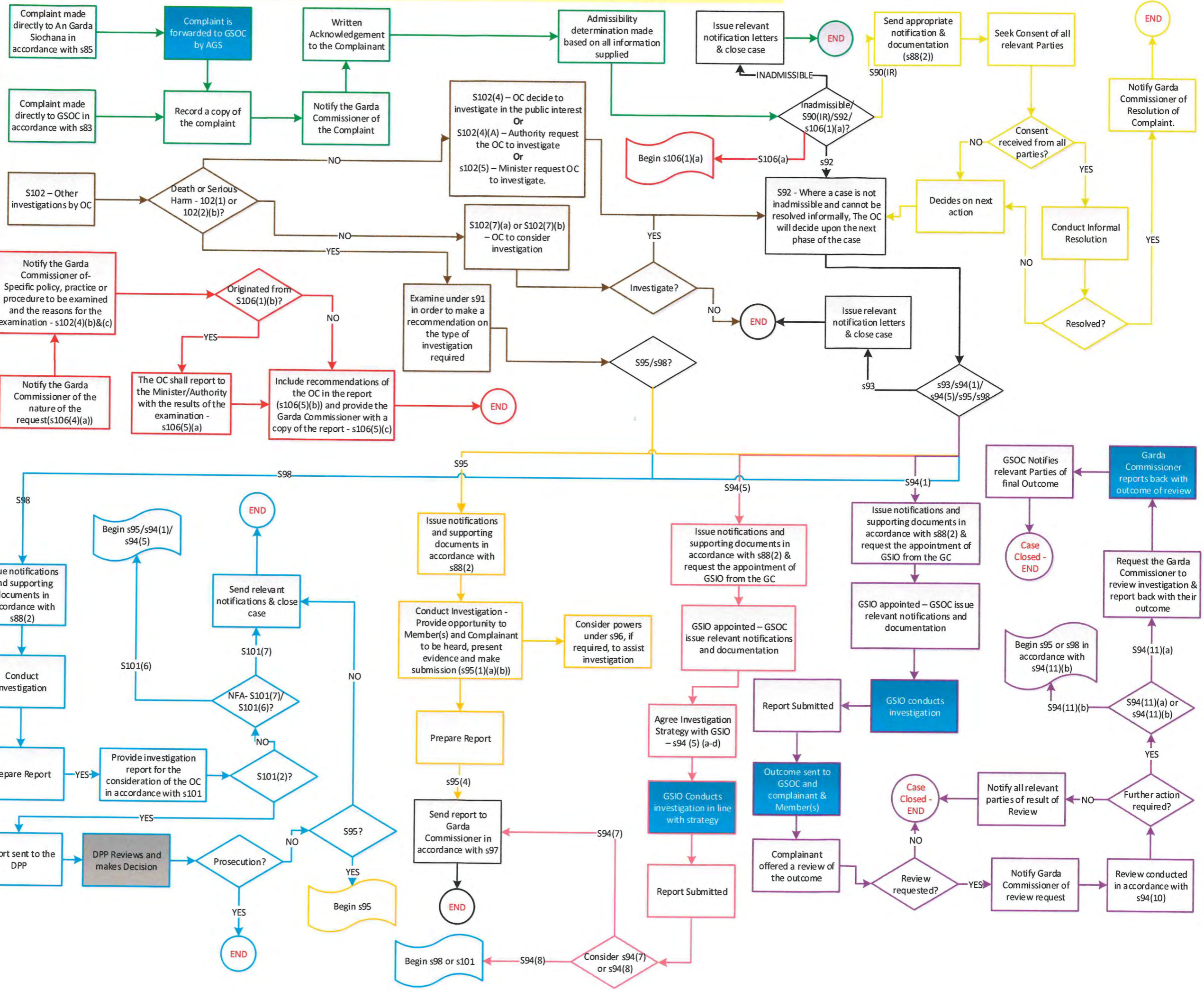
| Year                       | Case Type           | Received               | Investigation Type            | Concluded in Year | Avg. Time Taken (Days) | Cases on Hand at Year End | Avg. per Investigator on hand at year end | Inv Staff at 31/12/2017 | Avg. per Case Officer (s.94 (1)) | s.94 Team at 31/12/2017 | Avg. per Case Officer (IR) | IR Team at 31/12/2017 |
|----------------------------|---------------------|------------------------|-------------------------------|-------------------|------------------------|---------------------------|---|-------------------------|----------------------------------|-------------------------|----------------------------|-----------------------|
| 2017                       | Complaint           | 1949                   | s.98                          | 319               | 130                    | 277                       | 31  | 21                      | 98                               | 5                       | 32                         | 1                     |
|                            |                     |                        | s.95                          | 51                | 370                    | 73                        |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | s.94 (5)                      | 119               | 322                    | 196                       |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | s.94 (1) incl. IR to s.94 (1) | 382               | 290                    | 491                       |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | s.94 (1) to s.94 (10)         | 33                | 79                     | 34                        |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | IR excl. IR to s.94 (1)       | 95                | 152                    | 32                        |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | s.91                          | 0                 | 0                      | 0                         |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | s.92                          | 0                 | 0                      | 5                         |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | Complex Phase Movement        | 57                | 496                    | 4                         |   |                         |                                  |                         |                            |                       |
|                            |                     |                        |                               | <b>1056</b>       |                        | <b>1112</b>               |   |                         |                                  |                         |                            |                       |
|                            | Referrals s.102 (1) | 24                     | s.98                          | 2                 | 674                    | 21                        |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | s.95                          | 6                 | 326                    | 8                         |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | s.94 (5)                      | 0                 | 0                      | 0                         |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | s.91/s.93                     | 9                 | 75                     | 1                         |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | s.91                          | 0                 | 0                      | 7                         |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | s.92                          | 0                 | 0                      | 0                         |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | Complex Phase Movement        | 1                 | 373                    | 0                         |   |                         |                                  |                         |                            |                       |
|                            |                     | <b>18</b>              |                               | <b>37</b>         |                        |                           |   |                         |                                  |                         |                            |                       |
|                            | 102 (2) (b)         | 0                      | s.98                          | 1                 | 208                    | 0                         |   |                         |                                  |                         |                            |                       |
|                            |                     |                        | s.95                          | 0                 | 0                      | 0                         |   |                         |                                  |                         |                            |                       |
| s.94 (5)                   |                     |                        | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
| s.91/s.93                  |                     |                        | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
| s.91                       |                     |                        | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
| s.92                       |                     |                        | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
| Complex Phase Movement     |                     |                        | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
|                            | <b>1</b>            |                        | <b>0</b>                      |                   |                        |                           |   |                         |                                  |                         |                            |                       |
| 102 (4) Public Interest    | 11                  | s.98                   | 0                             | 0                 | 8                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | s.95                   | 1                             | 417               | 6                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | s.94 (5)               | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | s.91/s.93              | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | s.91                   | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | s.92                   | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | Complex Phase Movement | 1                             | 3395              | 0                      |                           |   |                         |                                  |                         |                            |                       |
|                            | <b>2</b>            |                        | <b>14</b>                     |                   |                        |                           |   |                         |                                  |                         |                            |                       |
| 102 (5) & 102 (7) Minister | 2 (plus Templemore) | s.98                   | 2                             | 405               | 7                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | s.95                   | 5                             | 445               | 9                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | s.94 (5)               | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | s.91/s.93              | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | s.91                   | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | s.92                   | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
|                            |                     | Complex Phase Movement | 0                             | 0                 | 0                      |                           |   |                         |                                  |                         |                            |                       |
|                            | <b>7</b>            |                        | <b>16</b>                     |                   |                        |                           |   |                         |                                  |                         |                            |                       |



# GSOC Legislative Workflow – Current Legislation

**Important streams that are not displayed:**

- A section 94(1) investigation can be escalated to a s95 or s98 investigation by the OC if deemed appropriate.
- A section 94(5) investigation can be escalated to a s95 or s98 investigation by the OC if deemed appropriate.
- A section 95 investigation can be escalated to s98 investigation, if considered appropriate by the OC.
- A section 106 examination can potentially run parallel to any ongoing investigation.
- A section 102 case can potentially run parallel to any ongoing investigation.
- Section 93 can be invoked by GSOC at anytime during an investigation.



**Key:**

- "GSIO" = Garda Senior Investigation Officer
- "OC" = Ombudsman Commission
- "D.P.P." = Director Of Public Prosecutions
- "Authority" = Policing Authority
- "AGS" = An Garda Síochána
- "NFA" = No Further Action

**Action** (Rectangle)

**Decision/option** (Diamond)

**Initial stages & Admissibility** (Green arrow)

**S102 origin cases** (Brown arrow)

**S106 Examination** (Red arrow)

**Informal resolution** (Yellow arrow)

**Criminal Investigation (s98)** (Blue arrow)

**Disciplinary Investigation (s95)** (Orange arrow)

**Disciplinary Investigation (s94(5) Supervised)** (Pink arrow)

**Disciplinary Investigation (s94(1) Unsupervised)** (Purple arrow)

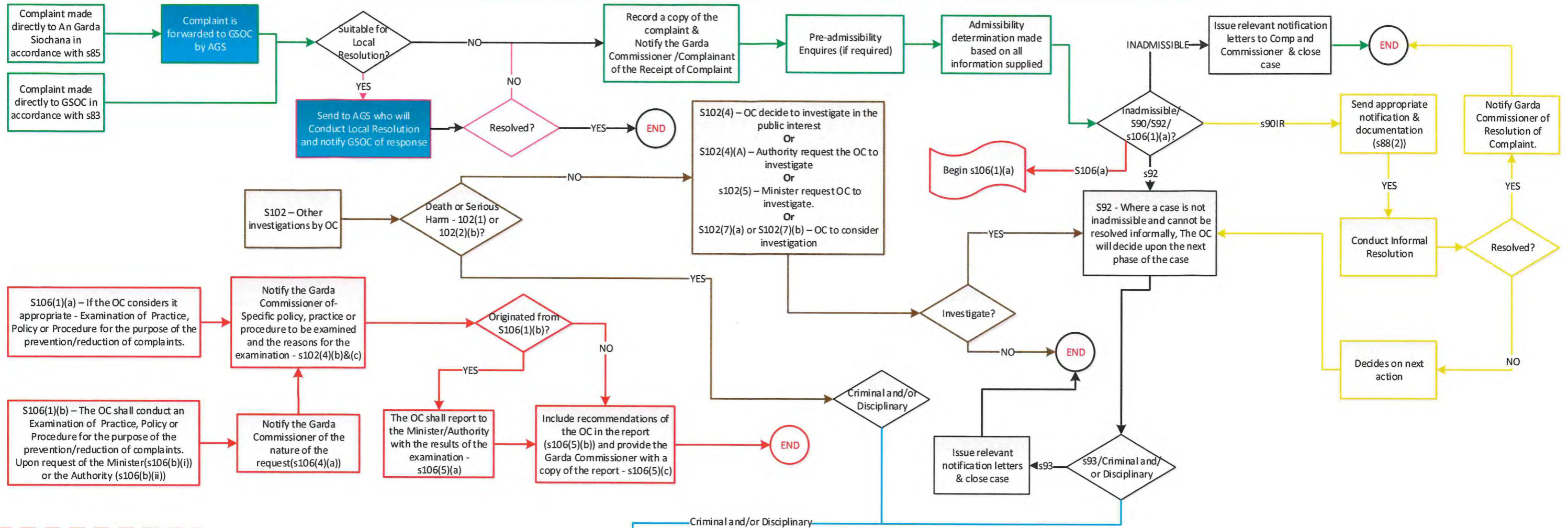
**An Garda Síochána Process** (Blue box)

**D.P.P. Process** (Grey box)

**GSOC Process** (White box)



# GSOC Legislative Workflow – Proposed Legislative Amendments



**Key:**

- OC = Ombudsman Commission
- DPP = Director of Public Prosecutions
- Authority = Policing Authority
- AGS = An Garda Síochána
- NFA = No Further Action

Action: [White Box]

Decision/Option: [Diamond]

Initial Stages & Admissibility: [Green Arrow]

S102 origin cases: [Brown Arrow]

S106 Examination: [Red Arrow]

Informal Resolution(s90 IR): [Yellow Arrow]

Local Intervention (LR): [Pink Arrow]

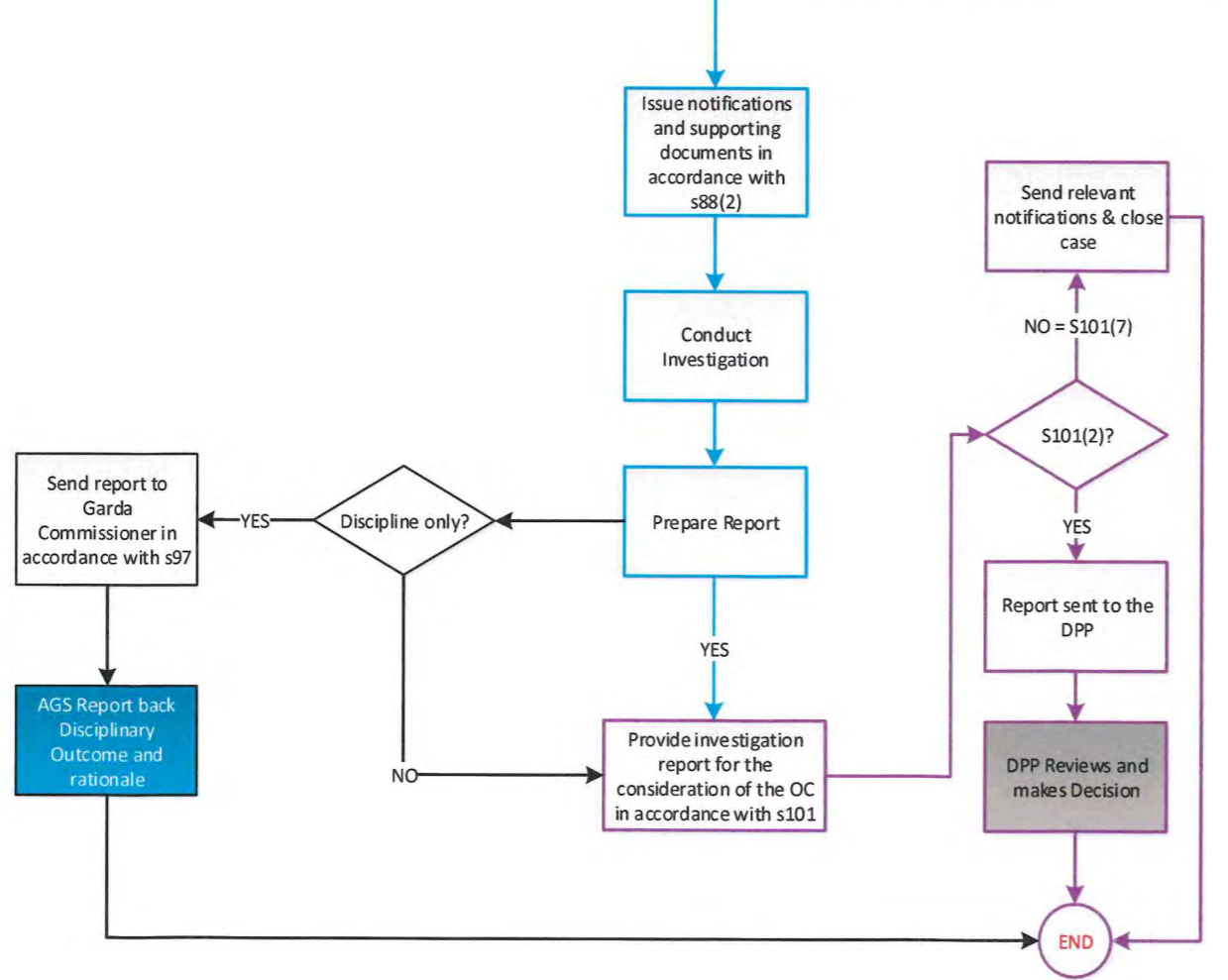
Criminal/Discipline Investigation: [Blue Arrow]

Section 101: [Purple Arrow]

An Garda Síochána Process: [Blue Box]

D.P.P. Process: [Grey Box]

GSOC Process: [White Box]

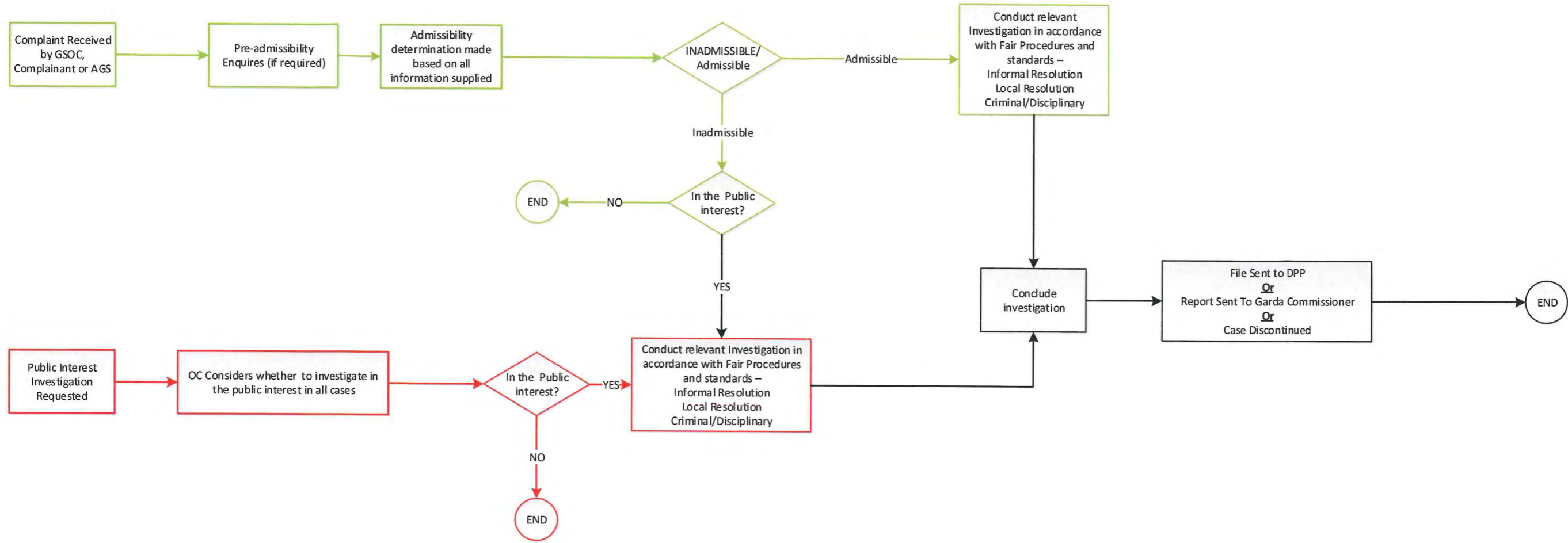


**Important streams that are not displayed:**

- A section 95 investigation can be escalated to s98 investigation, if considered appropriate by the OC.
- A section 106 examination can potentially run parallel to any ongoing investigation.
- A section 102 case can potentially run parallel to any ongoing investigation.
- Section 93 can be invoked by GSOC at anytime during an investigation.



# GSOC Legislative Workflow – Preferred Legislation



**Important streams that are not displayed:**

- The OC can decide how any investigation is run albeit in accordance with fair Procedures and standards.
- A Public Interest Investigation can be requested by Authorised parties and also incorporates Protected Disclosures.
- A Public Interest investigation can potentially run parallel to any ongoing investigation.
- Discontinuance of a case can be invoked by GSOC at any time during an investigation.